

ANNEX I  
FORMAT OF REPORT ON CORPORATE GOVERNANCE TO BE SUBMITTED BY A LISTED ENTITY ON QUARTERLY BASIS

1. Name of Listed Entity: John Deere Financial India Private Limited  
2. Quarter ending: March 31, 2022

I. Composition of Board of Directors													
Title (Mr./Ms)	Name of the Director	PAN	DIN	Category (Chairperson /Executive/ Non Executive/ independent /Nominee) &	Initial Date of Appointment	Date of Re-appointment	Date of Cessation	Tenure*	Date of Birth	No. Of directorship in listed entities including this listed entity [in reference to Regulation 17A(1) ]	No of Independent Directors hip in listed entities including this listed entity [in reference to proviso to regulation 17A(1)]	Number of memberships in Audit/ Stakeholder Committee (s) including this listed entity (Refer Regulation 26(1) of Listing Regulation s)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)
Mr.	Abhay Dhokte	ACHPD4086E	08481252	Executive Director	01-07-2019	NA	NA	NA	04-08-1970	1	0	0	0
Mr.	Ajit Jain	ABMPJ8624L	07021106	Non-Executive - Non Independent Director	28-01-2015	NA	NA	NA	13-07-1974	1	0	1	0
Mr.	Manish Phalke	ABGPP3655F	08501967	Non-Executive - Non Independent Director	11-07-2019	NA	NA	NA	28-09-1969	1	0	1	0
Mr.	Mark Ferrer	NIL	09201972	Non-Executive - Non Independent Director	07-07-2021	NA	NA	NA	06-02-1965	1	0	1	1
Mrs.	Pranjali Patil	AMSPP0496P	08738193	Non-Executive - Non Independent Director	15-05-2020	NA	NA	NA	09-10-1979	1	0	1	0
Whether Regular chairperson appointed – No													
Whether Chairperson is related to managing director or CEO: NA													
\$PAN of any director would not be displayed on the website of Stock Exchange &Category of directors means executive/nonexecutive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen * to be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on Board of directors of the listed entity in continuity without any cooling off period.													

II. Composition of Committees						
Name of Committee	Whether Regular chairperson appointed	Name of Committee members	Category (Chairperson/ Executive / Non Executive/ independent/ Nominee) \$	Date of Appointment	Date of Cessation	Remarks, if any
1. Audit Committee	Yes	1. Ajit Jain 2. Manish Phalke 3. Mark Ferres 4. Pranjali Patil 5. Subrata Mishra 6. Ravinder Reddy 7. Sudhir Thite 8. Narasimha Murthy	1. Non Executive Director 2. Non Executive Director 3. Chairperson 4. Non Executive Director 5. Other Member 6. Other Member 7. Other Member 8. Other Member	1. 28-01-2015 2. 11-07-2019 3. 07-07-2021 4. 05-05-2020 5. 21-10-2021 6. 21-10-2021 7. 21-10-2021 8. 21-10-2021	1. NA 2. NA 3. NA 4. NA 5. NA 6. NA 7. NA 8. NA	We are in the process of identifying the Independent Directors and reconstitution of committees to comply with the requirements of SEBI LODR (Fifth Amendment) Regulations, 2021 dated 7 <sup>th</sup> September, 2021 and the provisions specified in regulation 16 to 27 of the SEBI LODR Regulations, 2015 shall be applicable to a 'high value debt listed entity' on a 'comply or explain' basis until March 31, 2023 and on a mandatory basis thereafter.
2. Nomination & Remuneration Committee	No	1. Ajit Jain 2. Manish Phalke 3. Mark Ferres 4. Pranjali Patil	1. Non Executive Director 2. Non Executive Director 3. Non Executive Director 4. Non Executive Director	1. 08-11-2016 2. 11-07-2019 3. 07-07-2021 4. 05-05-2020	1. NA 2. NA 3. NA 4. NA	
3. Risk Management Committee (if applicable)	Yes	1. Abhay Dhokte 2. Ravinder Reddy 3. Akhil Agarwal 4. Girish Sivaramakrishnan 5. Teena Jesani 6. Suragond Siddalingesh 7. Liang Shi	1. Executive Director 2. Other Member 3. Chairperson 4. Other Member 5. Other Member 6. Other Member 7. Other Member	1. 01-07-2019 2. 21-10-2021 3. 21-10-2021 4. 21-10-2021 5. 21-10-2021 6. 21-10-2021 7. 21-10-2021	1. NA 2. NA 3. NA 4. NA 5. NA 6. NA 7. NA	
4. Stakeholders Relationship Committee'	NO	N.A.	N.A.	N.A.	N.A.	

& Category of directors means executive/nonexecutive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen

III. Meeting of Board of Directors						
Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met*	Number of Directors present*	Number of independent directors present*	Maximum gap between any two consecutive (in number of days)	Remark, if any
21-10-2021	20-01-2022	Yes	5	0	91	

\* to be filled in only for the current quarter meetings

#### IV. MEETINGS OF COMMITTEES

Committee Name	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)*	Number of Directors present*	Number of independent Directors present*	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days*	Remarks, if any
Audit Committee	10-03-2022	No	3	0	21-10-2021 20-01-2022	42	We are in the process of identifying the Independent Directors and reconstitution of committees to comply with the requirements of SEBI LODR (Fifth Amendment) Regulations, 2021 dated 7 <sup>th</sup> September, 2021 and the provisions specified in regulation 16 to 27 of the SEBI LODR Regulations, 2015 shall be applicable to a 'high value debt listed entity' on a 'comply or explain' basis until March 31, 2023 and on a mandatory basis thereafter.
Risk Management Committee	09-03-2022	No	1.	0	24-11-2021	105	
Nomination & Remuneration Committee	NIL	No	0	0	05-07-2021	0	
Stakeholders Relationship Committee	NIL	-	-	-	NIL	-	

\* This information has to be mandatorily be given for audit committee, for rest of the committees giving this information is optional \*\*to be filled in only for the current quarter meetings.

**V. RELATED PARTY TRANSACTIONS**

Subject	Compliance status (Yes/No/NA) refer note below
Whether prior approval of audit committee obtained	No
Whether shareholder approval obtained for material RPT	No
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by the Audit Committee	No
<p>Note:</p> <p>1 In the column "Compliance Status", compliance or noncompliance may be indicated by Yes/No/N.A. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.</p> <p>2 If status is "No" details of noncompliance may be given here.</p>	<p>Remark against Section V:</p> <p>We are in the process of appointment of Independent Directors. As soon as we appoint Independent Directors, Audit Committee will be reconstituted to form proper quorum and RPT approvals will be initiated accordingly to comply with the requirements of SEBI LODR (Fifth Amendment) Regulations, 2021 dt.7<sup>th</sup> September, 2021 and the provisions specified in regulation 16 to 27 of the SEBI LODR Regulations, 2015 shall be applicable to a 'high value debt listed entity' on a 'comply or explain' basis until March 31, 2023 and on a mandatory basis thereafter.</p>

**VI. AFFIRMATIONS**

<ol style="list-style-type: none"> <li>1. The composition of Board of Directors is in terms of SEBI (Listing Obligations and Disclosure requirements) Regulations, 2015: No</li> <li>2. The composition of the following committees is in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015:               <ol style="list-style-type: none"> <li>a. Audit Committee – No, as Independent Directors are yet to be appointed, composition of Audit Committee is not as per SEBI (LODR), 2015.</li> <li>b. Nomination &amp; Remuneration Committee – No, as Independent Directors are yet to be appointed, composition of Nomination &amp; Remuneration Committee is not as per SEBI (LODR), 2015.</li> <li>c. Stakeholders Relationship Committee – No, we are in process of formulating Stakeholder Relationship Committee as per SEBI (LODR), 2015.</li> <li>d. Risk management committee (applicable to the top 100 listed entities)– No, we are in process of formulating Stakeholder Relationship Committee as per SEBI (LODR), 2015.</li> </ol> </li> <li>3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015 : Yes</li> <li>4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015. – YES, EXCEPT INCLUSION OF INDEPENDENT DIRECTORS IN THE BOARD AND COMMITTEES.</li> <li>5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of the board of directors may be mentioned here. : No</li> </ol>	<p>Remarks against Point No. 1, 2, 3, 5, &amp; 6 under Section VI - Affirmations:</p> <p>We are in the process of identifying the Independent Directors and reconstitution of committees to comply with the requirements of SEBI LODR (Fifth Amendment) Regulations, 2021 dt.7<sup>th</sup> September, 2021 and the provisions specified in regulation 16 to 27 of the SEBI LODR Regulations, 2015 shall be applicable to a 'high value debt listed entity' on a 'comply or explain' basis until March 31, 2023 and on a mandatory basis thereafter.</p> <p>We have all the required Board approved policies in place. However, we are in process of Formulating policy for Succession plan and Code of conduct for Board of Directors and senior management, as required under SEBI (LODR) Fifth Amendment, Regulations 2021.</p>
<p>Name: Abhay Dhokte Designation: Managing Director DIN: 08481252</p>	

ANNEX IV

Format to be submitted twice a year, on a half yearly basis by the listed entity at the end of every 6 months of the financial year  
Half year ending on March 31, 2022

I. Disclosure of Loans / guarantees / comfort letters / securities etc. refer note below

(A) Any loan or any other form of debt advanced by the listed entity directly or indirectly to:

Entity	Aggregate amount advanced during six months	Balance outstanding at the end of six Months
Promoter or any other entity controlled by them	-	-
Promoter Group or any other entity controlled by them	-	-
Directors (including relatives) or any other entity controlled by them	-	-
KMPs or any other entity controlled by them	-	-

(B) Any guarantee/ comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:

Entity	Type (guarantee, comfort letter etc.)	Aggregate amount of issuance during six months	Balance outstanding at the end of six months (taking into account any invocation)
Promoter or any other entity controlled by them	-	-	-
Promoter Group or any other entity controlled by them	-	-	-
Directors (including relatives) or any other entity controlled by them KMPs or any other entity controlled by them	-	-	-

(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:

Entity	Type of security (cash, shares etc.)	Aggregate value of security provided during six months	Balance outstanding at the end of six months
Promoter or any other entity controlled by them	-	-	-
Promoter Group or any other entity controlled by them	-	-	-

Directors (including relatives) or any other entity controlled by them	-	-	-
KMPs or any other entity controlled by them	-	-	-

II. Affirmations:

All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt), given directly or indirectly by the listed entity to promoter(s), promoter group, director(s) (including their relatives), key managerial personnel (including their relatives) or any entity controlled by them are in the economic interest of the company. – Not applicable, as company has not given any loans, comfort letter or securities in connection with any loan as stated above during the period under review.

Name: Girish Sivaramakrishnan  
Designation Chief Financial Officer

Note

1. These disclosures shall exclude any loan (or other form of debt), guarantee / comfort letter (by whatever name called) or security provided in connection with any loan or any other form of debt;
  - a) by a government company to/ for the Government or government company
  - b) by the listed entity to/for its subsidiary [and joint venture company] whose accounts are consolidated with the listed entity.
  - c) by a banking company or an insurance company; and
  - d) by the listed entity to its employees or directors as a part of the service conditions
2. If the Listed Entity would like to provide any other information, the same may be indicated as Para D in the above table.